

# FINANCIAL INVESTMENT CHECKLIST

Developed by Dr. Ralph Steele, CFM, CAPM, FAPA

1. I have reviewed and understand what is a Wealth Mindset?  YES  NO

2. What are your wealth goals? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. How much money do you have to invest? \_\_\_\_\_

4. Have you consulted with a Licensed/Certified professional on what type of investment(s) to make?  YES  NO *Check all that apply.*

- |   |   |
|---|---|
| <input type="checkbox"/> Stocks               | <input type="checkbox"/> Mutual Funds                   |
| <input type="checkbox"/> 401K                 | <input type="checkbox"/> Guaranteed Life Income Annuity |
| <input type="checkbox"/> Whole Life Insurance | <input type="checkbox"/> Real Estate Land               |
| <input type="checkbox"/> Term Life            | <input type="checkbox"/> Real Estate Home               |
| <input type="checkbox"/> IUL                  | <input type="checkbox"/> Gold                           |
| <input type="checkbox"/> Roth IRA             | <input type="checkbox"/> Silver                         |
| <input type="checkbox"/> SEP                  |   |

5. Do understand the Rule of 72?  YES  NO

6. Do you have an emergency fund?  YES  NO

7. Do understand the 5-4-3-2-1 Rule?  YES  NO

*5- 40% expenses, 4- 30% housing, 3- 10% tithes, 2 - 10% investment, and 1-10% - insurance*

8. Have you completed your annual financial exam?  YES  NO

9. Have you reviewed your assets, debts, income, & expenses?  YES  NO

10. Have you reviewed your annual budget?  YES  NO

11. Do you have an Estate Plan with a POA, Will, and a Trust?  YES  NO

12. I have read 3 books on wealth & completed a financial literacy class.  YES  NO

